

Company/Entity Name	
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As part of DNB's compliance requirements, we undertake due diligence prior to entering into any business relationship with contractors, sub-contractors, consultants and agents to ensure that our counterparties will meet DNB Supplier's Code of Conduct requirements and obligations.

THIRD PARTY DUE DILIGENCE QUESTIONNAIRE

Please provide answers to all questions below. Some answers may simply consist of a "Yes or No". Please do not omit any question. If a question is Not Applicable, write "N/A" in the space provided. If the space provided for a question is insufficient, you may attach additional pages. For any questions or issues completing the questionnaires, please contact [eSupplier@digital-nasional.com.my].

1. INCORPORATION, OWNERSHIP AND EMPLOYEE INFORMATION

- 1.1 Is the Applicant an "Entity", such as a corporation, sole proprietorship, partnership, non-profit organization, joint venture, government entity, Non-Government Organization ("NGO"), etc?

(Please specify the nature entity or write "Individual" if applicable):

- 1.2 Unless the applicant is an individual, please list all shareholders or owners who hold a financial interest in the Applicant's business of 5% or more. Where applicable, please provide ownership information that identifies all individual owner of Applicant (or shareholders holding more than 5% shares if a public company as per Company's Act 2016).

Owner Name	% Ownership	Address	Country of Incorporation/ Nationality

- 1.3 Has the Applicant changed its business name in the last five (5) years?

☐

Yes

☐

No

2. GOVERNMENT

- 2.1 Does the Applicant have any owners, shareholders, or key personnel (or any affiliated entity) who are Public Officials/Politically Exposed Persons ("PEP") or have connections (family, business, or otherwise) with public officials?

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Yes

☐

No

3. CONFLICTS OF INTEREST

3.1 Does the entity have any connection to DNB, either with an employee, director, shareholder, etc.?

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Yes

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No

If 'Yes', please provide details:

Name of the Individual/shareholder	Nature of the Connection (employee, director, shareholder, etc.)	Duration of the Connection

Note: If additional space is needed to provide details, please feel free to add boxes or attach additional sheets as required

3.2 Government Connections and Relations - Identify individual who is or was the public official, or who has connection to a current or former public official.

Are any owners, management or employees connected with a current or former Public Official (PO) / Political Exposed Person (PEP)?

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Yes

☐

No

If 'Yes', please provide detail:

Person Name	Person Title	Organization Name	Position Held	Relationship to Public Official

Note: If additional space is needed to provide details, please feel free to add boxes or attach additional sheets as required

4. VIOLATIONS

- 4.1 Within the last five (5) years, has the Applicant, or any of its directors, officers, principal owners or employees, ever been found by a court or agency to have violated any anti-bribery laws or securities laws?

☐ Yes ☐ No

If 'Yes', please provide details:

- 4.2 Within the last five (5) years, has the Applicant or any of the principal officers, directors or shareholders ever been investigated or charged with any offense, including bribery, conflicts of interest, corruption, kickbacks, or money-laundering?

☐ Yes ☐ No

If 'Yes', please provide details:

- 4.3 Has the Applicant ever paid money or given anything of value to a Public Officials in order to retain business or obtain an improper advantage in any jurisdiction?

☐ Yes ☐ No

If 'Yes', please provide details:

- 4.4 Has the Applicant ever been suspended or debarred from doing business in any capacity as a result of fraud, misrepresentation, corruption, bribery, money laundering or any other related activities in any jurisdiction?

☐ Yes ☐ No

If 'Yes', please provide details:

- 4.5 Has the Applicant ever made any disclosures to any authority regarding fraudulent or corrupt misconduct or improper accounting?

☐ Yes ☐ No

If 'Yes', please provide details:

5. POLICIES AND PROCEDURES

Please answer the following regarding your policies and procedures.

No.	Compliance System and Anti-Corruption Prevention	Yes / No
1.	Is Applicant familiar with the prohibitions of the anti-bribery laws Malaysian Anti-Corruption Act 2009 or Foreign Corrupt Practices Act (FCPA), UK Bribery Act 2010 and/or the OECD Convention) on Combating Bribery of Foreign Public Officials?	
2.	Does the Applicant have an Anti-Corruption Policy? If 'Yes' (Please Provide your Anti-Corruption Policy)	
3.	Does the Applicant have procedures in place to monitor the effectiveness of its anti-bribery and anti-corruption policy?	
4.	Does the Applicant have Risk Register related to bribery and corruption?	
5.	Does Applicant provide training of anti-bribery laws to its employees and business associates?	
6.	Does Applicant have procedures in place to allow reporting of any misconduct? i.e. whistleblowing, complaint management	
7.	Does Applicant allow facilitation payments? <i>Definition: Facilitation payment" means any rewards or incentives used to secure or hasten the completion of a normal task that one person is required to carry out as part of their regular obligations and responsibilities(DNB Supplier Code of Conduct)</i>	
8.	Does the Applicant have a background check program?	
9.	As stated in item 3 above, does Applicant uses any monitoring tool or internal assessment to measure the effectiveness of the anti-corruption policy.	
10.	Does the Applicant have a Code of Conduct/Employee Handbook? If 'Yes' (Please Provide your Code of Conduct)	

Attachments

Please attach the following documents:

- Applicant's Code of Conduct and Applicant's Anti-Corruption policy

Note: Please ignore the above, if the documents have already been submitted.

Signature:

For and on behalf of
[Company's name]:

Name and NRIC No.:

Designation:

Company stamp: